

## PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

OTTEVANGERS, S., U.  
Vereenigde  
Nieuwe Parklaan 97  
NL-2587 BN The Hague  
PAYS-BAS

Date of mailing (day/month/year) 17 April 2000 (17.04.00)	<b>IMPORTANT NOTIFICATION</b>  International filing date (day/month/year) 28 July 1999 (28.07.99)
Applicant's or agent's file reference P21551PC00	
International application No. PCT/NL99/00484	

1. The following indications appeared on record concerning:	
<input type="checkbox"/> the applicant	<input type="checkbox"/> the inventor <input checked="" type="checkbox"/> the agent <input type="checkbox"/> the common representative
Name and Address OTTEVANGERS, S., U. Vereenigde Octrooibureaux Nieuwe Parklaan 97 NL-2587 BN The Hague Netherlands	State of Nationality
	State of Residence
	Telephone No. 070 4166711
	Facsimile No. 070 4166799
2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:	
<input type="checkbox"/> the person <input checked="" type="checkbox"/> the name <input type="checkbox"/> the address <input type="checkbox"/> the nationality <input type="checkbox"/> the residence	
Name and Address OTTEVANGERS, S., U. Vereenigde Nieuwe Parklaan 97 NL-2587 BN The Hague Netherlands	State of Nationality
	State of Residence
	Telephone No. 070 4166711
	Facsimile No. 070 4166799
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned
<input checked="" type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	Authorized officer  N. Lindner  Telephone No.: (41-22) 338.83.38
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## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
United States Patent and Trademark  
Office  
Box PCT  
Washington, D.C. 20231  
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 15 February 2000 (15.02.00)	
International application No. PCT/NL99/00484	Applicant's or agent's file reference P21551PC00
International filing date (day/month/year) 28 July 1999 (28.07.99)	Priority date (day/month/year) 31 July 1998 (31.07.98)
Applicant TER VEER, Berend, Cornelis, Arend et al	

1. The designated Office is hereby notified of its election made: <input checked="" type="checkbox"/> in the demand filed with the International Preliminary Examining Authority on: 11 January 2000 (11.01.00) <input type="checkbox"/> in a notice effecting later election filed with the International Bureau on: _____	
2. The election <input checked="" type="checkbox"/> was <input type="checkbox"/> was not made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).	

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Claudio Borton Telephone No.: (41-22) 338.83.38
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# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>P21551PC00</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/NL 99/ 00484</b>	International filing date (day/month/year) <b>28/07/1999</b>	(Earliest) Priority Date (day/month/year) <b>31/07/1998</b>
Applicant <b>COÖPERATIEVE VERKOOP- EN PRODUCTIEVERENIGING VAN A</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.  
☒ it is also accompanied by a copy of each prior art document cited in this report.

### 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :
- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

### 4. With regard to the **title**,

- ☒ the text is approved as submitted by the applicant.
- ☐ the text has been established by this Authority to read as follows:

### 5. With regard to the **abstract**,

- ☒ the text is approved as submitted by the applicant.
- ☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

### 6. The figure of the **drawings** to be published with the abstract is Figure No.

- ☐ as suggested by the applicant.
- ☐ because the applicant failed to suggest a figure.
- ☐ because this figure better characterizes the invention.
- ☒ None of the figures.

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## INTERNATIONAL SEARCH REPORT

National Application No

PCT/NL 99/00484

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C08B31/18 C08B35/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 97 04167 A (SVERIGES STÄRKELSEPRODUCENTER FÖRENING) 6 February 1997 (1997-02-06) page 2, line 24 -page 3, line 13 ---	1-9
Y	DE 20 07 408 A (CPC INTERNATIONAL INC.) 15 October 1970 (1970-10-15) page 19 -page 21 page 7 -page 8 --- -/--	1-9



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## ° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&amp;" document member of the same patent family

Date of the actual completion of the international search

6 October 1999

Date of mailing of the international search report

20/10/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Lensen, H

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/NL 99/00484

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	ABSTRACT BULLETIN OF THE INSTITUTE OF PAPER CHEMISTRY, vol. 60, no. 11, 1 May 1990 (1990-05-01), XP000106834 APPLETON US & HU 47 603 A (GOMORY P.) 28 March 1989 (1989-03-28) & DATABASE WPI Week 8917 Derwent Publications Ltd., London, GB; AN 124664 ---	1-9
Y	PATENT ABSTRACTS OF JAPAN vol. 14, no. 109 (C-695), 28 February 1990 (1990-02-28) & JP 01 313501 A (KOKUSAN GIJUTSU KENKYUSHO), 19 December 1989 (1989-12-19) abstract & DATABASE WPI Week 9005 Derwent Publications Ltd., London, GB; AN 34408 abstract ---	1-9
A	EP 0 811 633 A (CERESTAR HOLDING) 10 December 1997 (1997-12-10) ---	
A	US 4 841 040 A (JUST ET AL.) 20 June 1989 (1989-06-20) ---	
A	GB 1 425 822 A (FOSROC) 18 February 1976 (1976-02-18) ---	
A	EP 0 799 837 A (AVEBE) 8 October 1997 (1997-10-08) ---	
A	CHATTOPADHYAY S ET AL: "Optimisation of conditions of synthesis of oxidised starch from corn and amaranth for use in film-forming applications" CARBOHYDRATE POLYMERS, vol. 34, no. 4, 1997, page 203-212 XP004113375 ISSN: 0144-8617 -----	

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 99/00484

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9704167	A	06-02-1997	SE 504641 C	24-03-1997
			AU 6473396 A	18-02-1997
			CZ 9700802 A	16-07-1997
			EP 0796371 A	24-09-1997
			JP 10509220 T	08-09-1998
			SE 9502631 A	18-01-1997
DE 2007408	A	15-10-1970	BE 746117 A	18-08-1970
			CA 918650 A	09-01-1973
			DE 2020134 A	26-11-1970
			DE 2020135 A	03-12-1970
			FR 2035627 A	18-12-1970
			FR 2044743 A	26-02-1971
			FR 2044744 A	26-02-1971
			GB 1302942 A	10-01-1973
			GB 1302943 A	10-01-1973
			GB 1250597 A	20-10-1971
			NL 7001702 A	21-08-1970
			NL 7005994 A	27-10-1970
			NL 7005995 A	27-10-1970
			US 3524750 A	18-08-1970
			US 3598622 A	10-08-1971
			US 3658733 A	25-04-1972
			DK 132837 B	16-02-1976
			SE 371833 B	02-12-1974
			ZA 7002771 A	27-01-1971
			DK 130014 B	09-12-1974
			SE 371832 B	02-12-1974
			ZA 7002772 A	27-01-1971
JP 01313501	A	19-12-1989	JP 1834129 C	29-03-1994
			JP 5045602 B	09-07-1993
EP 811633	A	10-12-1997	CA 2206936 A	04-12-1997
			JP 10053601 A	24-02-1998
US 4841040	A	20-06-1989	CA 1308099 A	29-09-1992
			DE 3851614 D	27-10-1994
			DE 3851614 T	19-01-1995
			EP 0319989 A	14-06-1989
GB 1425822	A	18-02-1976	NONE	
EP 799837	A	08-10-1997	NL 1002782 C	09-10-1997

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From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT

(PCT Rule 71.1)

To:

OTTEVANGERS, S.U.  
VEREENIGDE

Nieuwe Parklaan 97  
2587 BN Den Haag

PAYS BAS  
14 NOV. 2000

Beantwoord bericht gezonden  
voorl. aan

def. dd.

Applicant's or agent's file reference

MAP P21551PC00

NRF<sub>2</sub> 31-1-2001

Comm KB

SC 10-PP 1/3

Date of mailing  
(day/month/year)

08.11.2000

## IMPORTANT NOTIFICATION

International application No.  
PCT/NL99/00484

International filing date (day/month/year)  
28/07/1999

Priority date (day/month/year)  
31/07/1998

Applicant

COÖPERATIEVE VERKOOP- EN PRODUCTIEVERENIGING VAN A

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

## 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/



European Patent Office  
D-80298 Munich  
Tel. +49 89 2399 - 0 Tx: 523656 epmu d  
Fax: +49 89 2399 - 4465

Authorized officer

Aperribay, I

Tel. +49 89 2399-8154



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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>P21551PC00</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/NL99/00484</b>	International filing date (day/month/year) <b>28/07/1999</b>	Priority date (day/month/year) <b>31/07/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>C08B31/18</b>		
Applicant <b>COÖPERATIEVE VERKOOP- EN PRODUCTIEVERENIGING VAN A</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 8 sheets, including this cover sheet.

- ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand <b>11/01/2000</b>	Date of completion of this report <b>08.11.2000</b>
Name and mailing address of the international preliminary examining authority:  <b>European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465</b>	Authorized officer <b>Gerber, M</b> Telephone No. +49 89 2399 8528 

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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/NL99/00484

## I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

### Description, pages:

1-24 as originally filed

### Claims, No.:

1-9 as originally filed

2. The amendments have resulted in the cancellation of:

☐ the description, pages:

☐ the claims, Nos.:

☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N) Yes: Claims 1-7, 9  
No: Claims 8

Inventive step (IS) Yes: Claims  
No: Claims 1-9

Industrial applicability (IA) Yes: Claims 1-9  
No: Claims

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**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/NL99/00484

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**2. Citations and explanations**

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

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**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

Reference is made to the following documents:

**D1:** DE 20 07 408 A (CPC INTERNATIONAL INC.) 15 October 1970

**D2:** WO 97 04167 A (SVERIGES STÄRKELSEPRODUCENTER FÖRENING) 6  
February 1997

**1. Novelty**

1.1. The subject-matter of **claim 8** is defined in term of unusual parameters which are not to be found in the prior art, and might thus disguise lack of novelty.

Accordingly, the novelty of the subject-matter of claim 8 could only be acknowledged for a product defined in terms of the claimed process as it is the case in claim 7 (see also Item VIII).

1.2. The subject-matter of claim 1 differs from D1 in that the **amylopectin-content of the waxy tuber starches** to be oxidised is higher, i.e. at least 95 wt.% based on dry substance of the starch. At the time this document was published (1970), the **natural occurring waxy tuber starches** contained a maximum of 80 wt. % of amylopectin.

The subject-matter of **claims 1-7 and 9** is thus novel over the available state of the art (Article 33(2) PCT).

**2. Inventive step**

2.1. The subject-matter of **claims 1-6** is obvious (Article 33(3) PCT).

D1, which is considered to represent the most relevant state of the art, discloses an oxidising treatment directed to tuber starches, like potato and tapioca, with sodium hypochlorite, followed by an alkaline treatment at  $10 < \text{pH} < 12$ , the temperature being comprised between 10 and 60°C, for a duration of from 5 minutes to 2 hours (see

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pages 4-8). The thus obtained heat-stable products present a lower viscosity-peak and a lower viscosity than the ones not having been subjected to a post alkaline treatment.

The subject-matter of claim 1 differs from the disclosure of D1 in the amylopectin-content of the root or tuber starch to be oxidised.

The problem to be solved by the present invention may therefore be regarded as to select a type of tuber starch suitable for use in the paper industry, exhibiting an increased viscosity stability under heating.

The solution proposed in claim 1 of the present application cannot be considered as involving an inventive step (Article 33(3) PCT) for the following reasons.

The combination of the teachings of D1 and D2 in order to find a tuber starch suitable for use in paper industry renders the subject-matter of claim 1 obvious.

Actually, **D2** gives a hint for the use of root or tuber starches having a high amylopectin content. In this document, the stabilising step, commonly undertaken before oxidising starch for use in paper making, is replaced by the **use of waxy potato starch** having an amylopectin-content of 95 wt.% or more, possessing an **excellent stability** because of its **branched structure** (see D2, page 2, lines 24-29). The thus obtained oxidised waxy potato starch also exhibits high stability.

Moreover, the **same process features** are applied in D1 and in the present application to obtain the **same effects** listed below.

- The obtention of a **low peak-viscosity** is well-known from D1 (see D1 on page 11, last paragraph, and example 3). The peak-lowering achieved according to D1 is 10-45% less than the one obtained without alkaline treatment (see page 9, line 4).

Moreover, this effect is clearly independent of the oxidation pH and rely exclusively on the alkaline treatment (see the present application on page 9, lines 25-36, and on page 10, lines 17-24).

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- The products of D1 are also characterised by a **low viscosity** in solution (see D1 on page 9, first paragraph).
- The fact of using a **smaller amount of oxidising agent** is closely linked to the pH at which starch is oxidised (see the present application on page 4, lines 19-22, and page 9, lines 8-13). Since the pH conditions are the same in D1 and the present application, this does not constitute a surprising effect.
- The same remark applies to the **shorter period of time** necessary for carrying out the reaction. As a matter of fact, it is the use of alkali metal hypochlorite that contributes to a fast oxidising process (see the present application on page 8, lines 28-33).
- The **viscosity stability** is considered as an inherent property of the oxidised starch product of D1. Actually, this effect is due to the alkaline treatment and to the pH at which the oxidation is carried out (see the present application on page 9, lines 13-18, and on page 10, lines 17-28). This is not disclosed in D1 but, since a product obtained by means of the same process possesses the same properties, viscosity stability is expected for the products of D1.

Dependent **claims 2-5**, containing additional features already disclosed in D1, and **claim 6**, which disclose a range of pH for the oxidation step of common use in the field of starch chemistry, are not inventive either (Article 33(3) PCT).

2.2. For the reasons exposed above **claim 7**, which products are obtained from the process claims 1-6, as well as **claim 9**, directed to the use thereof, cannot be considered as inventive (Article 33(3) PCT).

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### **3. Industrial applicability**

The subject-matter of present **claims 1-9** appears to comply with the requirements of industrial applicability as stipulated in Article 33(4) PCT.

#### **Re Item VII**

##### **Certain defects in the international application**

Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the document D1 is not mentioned in the description, nor is this document identified therein.

#### **Re Item VIII**

##### **Certain observations on the international application**

a) As **claim 8** does not contain any reference to the previous claims and it constitutes an attempt to extend the scope of protection. In fact, in the description, in the paragraph concerning the subject-matter of said claim (page 11, line 8, - page 12, line 17), reference is made to the process according to the invention.

Additionally, claim 8 attempts to define the concentration of oxidised starch in suspension to be used for the measurement of the Brabender viscosity by the result to be achieved. In the description, the Applicant underlines the relationship between said concentration and the amount of alkali metal hypochlorite used during oxidation (page 12, lines 11-14), and mentions also the content of dry oxidised starch used thereof, in a range from 5 to 40 wt.% (page 12, lines 6-8). This fact introduces unclarity in the application and shows that it is possible to quantify said feature.

b) The expression "(more) preferably" in **claims 3, 6 and 8** and the preferred embodiments that follow this expression have no limiting effect upon the scope of the claims. If specific protection is desired for these preferred embodiments, they should be made the subject of further dependent claims when entering the regional phase.

c) The units of measure of torque and intrinsic viscosity employed on page 11, line 25 (dl/g), on page 12, line 6, and in tables III and IV do not meet the requirements of Rule

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**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/NL99/00484

10.1(d) PCT.

d) The vague and imprecise statement in the description on page 14, lines 28-33, implies that the subject-matter for which protection is sought may be different to that defined by the claims, thereby resulting in lack of clarity of the claims (Article 6 PCT) when used to interpret them.

e) The terms employed in table V, the first column, appear to be registered trade marks.

f) In table II, there is a mistake in the ratio between the viscosity after 20 hours and the viscosity at 0 hours corresponding to the oxidised starch for example no. 2.

In table III, the values of  $BU_{top}/BU_{90-20}$  for oxidised starch from examples no. 4 and 5 do not match with the values given above for these two types of viscosity.

Additionally, all the amounts of chlorine compared to starch of tables II-IV are wrong.

Moreover, there is a lack of consistency in the way of giving the results of the measures, concerning the number of digits after the comma, in the tables II-V.

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# PATENT COOPERATION TREATY

## PCT

REC'D 10 NOV 2000

WIPO

PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>P21551PC00</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/NL99/00484</b>	International filing date (day/month/year) <b>28/07/1999</b>	Priority date (day/month/year) <b>31/07/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>C08B31/18</b>		
Applicant <b>COÖPERATIEVE VERKOOP- EN PRODUCTIEVERENIGING VAN A</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 8 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

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- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand <b>11/01/2000</b>	Date of completion of this report <b>08.11.2000</b>
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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/NL99/00484

## I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

### Description, pages:

1-24 as originally filed

### Claims, No.:

1-9 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Yes:	Claims	1-7, 9
	No:	Claims	8
Inventive step (IS)	Yes:	Claims	
	No:	Claims	1-9
Industrial applicability (IA)	Yes:	Claims	1-9
	No:	Claims	

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**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/NL99/00484

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2. Citations and explanations

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

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**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

Reference is made to the following documents:

**D1:** DE 20 07 408 A (CPC INTERNATIONAL INC.) 15 October 1970

**D2:** WO 97 04167 A (SVERIGES STÄRKELSEPRODUCENTER FÖRENING) 6 February 1997

**1. Novelty**

1.1. The subject-matter of **claim 8** is defined in term of unusual parameters which are not to be found in the prior art, and might thus disguise lack of novelty.

Accordingly, the novelty of the subject-matter of claim 8 could only be acknowledged for a product defined in terms of the claimed process as it is the case in claim 7 (see also Item VIII).

1.2. The subject-matter of claim 1 differs from D1 in that the **amylopectin-content of the waxy tuber starches** to be oxidised is higher, i.e. at least 95 wt.% based on dry substance of the starch. At the time this document was published (1970), the **natural occurring waxy tuber starches** contained a maximum of 80 wt. % of amylopectin.

The subject-matter of **claims 1-7 and 9** is thus novel over the available state of the art (Article 33(2) PCT).

**2. Inventive step**

2.1. The subject-matter of **claims 1-6** is obvious (Article 33(3) PCT).

D1, which is considered to represent the most relevant state of the art, discloses an oxidising treatment directed to tuber starches, like potato and tapioca, with sodium hypochlorite, followed by an alkaline treatment at  $10 < \text{pH} < 12$ , the temperature being comprised between 10 and 60°C, for a duration of from 5 minutes to 2 hours (see

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pages 4-8). The thus obtained heat-stable products present a lower viscosity-peak and a lower viscosity than the ones not having been subjected to a post alkaline treatment.

The subject-matter of claim 1 differs from the disclosure of D1 in the amylopectin-content of the root or tuber starch to be oxidised.

The problem to be solved by the present invention may therefore be regarded as to select a type of tuber starch suitable for use in the paper industry, exhibiting an increased viscosity stability under heating.

The solution proposed in claim 1 of the present application cannot be considered as involving an inventive step (Article 33(3) PCT) for the following reasons.

The combination of the teachings of D1 and D2 in order to find a tuber starch suitable for use in paper industry renders the subject-matter of claim 1 obvious.

Actually, **D2** gives a hint for the use of root or tuber starches having a high amylopectin content. In this document, the stabilising step, commonly undertaken before oxidising starch for use in paper making, is replaced by the **use of waxy potato starch** having an amylopectin-content of 95 wt.% or more, possessing an **excellent stability** because of its **branched structure** (see D2, page 2, lines 24-29). The thus obtained oxidised waxy potato starch also exhibits high stability.

Moreover, the **same process features** are applied in D1 and in the present application to obtain the **same effects** listed below.

- The obtention of a **low peak-viscosity** is well-known from D1 (see D1 on page 11, last paragraph, and example 3). The peak-lowering achieved according to D1 is 10-45% less than the one obtained without alkaline treatment (see page 9, line 4).

Moreover, this effect is clearly independent of the oxidation pH and rely exclusively on the alkaline treatment (see the present application on page 8, lines 25-36, and on page 10, lines 17-24).

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- The products of D1 are also characterised by a **low viscosity** in solution (see D1 on page 9, first paragraph).
- The fact of using a **smaller amount of oxidising agent** is closely linked to the pH at which starch is oxidised (see the present application on page 4, lines 19-22, and page 9, lines 8-13). Since the pH conditions are the same in D1 and the present application, this does not constitute a surprising effect.
- The same remark applies to the **shorter period of time** necessary for carrying out the reaction. As a matter of fact, it is the use of alkali metal hypochlorite that contributes to a fast oxidising process (see the present application on page 8, lines 28-33).
- The **viscosity stability** is considered as an inherent property of the oxidised starch product of D1. Actually, this effect is due to the alkaline treatment and to the pH at which the oxidation is carried out (see the present application on page 9, lines 13-18, and on page 10, lines 17-28). This is not disclosed in D1 but, since a product obtained by means of the same process possesses the same properties, viscosity stability is expected for the products of D1.

Dependent **claims 2-5**, containing additional features already disclosed in D1, and **claim 6**, which disclose a range of pH for the oxidation step of common use in the field of starch chemistry, are not inventive either (Article 33(3) PCT).

2.2. For the reasons exposed above **claim 7**, which products are obtained from the process claims 1-6, as well as **claim 9**, directed to the use thereof, cannot be considered as inventive (Article 33(3) PCT).

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### **3. Industrial applicability**

The subject-matter of present **claims 1-9** appears to comply with the requirements of industrial applicability as stipulated in Article 33(4) PCT.

#### **Re Item VII**

##### **Certain defects in the international application**

Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the document D1 is not mentioned in the description, nor is this document identified therein.

#### **Re Item VIII**

##### **Certain observations on the international application**

a) As **claim 8** does not contain any reference to the previous claims and it constitutes an attempt to extend the scope of protection. In fact, in the description, in the paragraph concerning the subject-matter of said claim (page 11, line 8, - page 12, line 17), reference is made to the process according to the invention.

Additionally, claim 8 attempts to define the concentration of oxidised starch in suspension to be used for the measurement of the Brabender viscosity by the result to be achieved. In the description, the Applicant underlines the relationship between said concentration and the amount of alkali metal hypochlorite used during oxidation (page 12, lines 11-14), and mentions also the content of dry oxidised starch used thereof, in a range from 5 to 40 wt.% (page 12, lines 6-8). This fact introduces unclarity in the application and shows that it is possible to quantify said feature.

b) The expression "(more) preferably" in **claims 3, 6 and 8** and the preferred embodiments that follow this expression have no limiting effect upon the scope of the claims. If specific protection is desired for these preferred embodiments, they should be made the subject of further dependent claims when entering the regional phase.

c) The units of measure of torque and intrinsic viscosity employed on page 11, line 20 (dl/g), on page 12, line 6, and in tables III and IV do not meet the requirements of Rule

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10.1(d) PCT.

d) The vague and imprecise statement in the description on page 14, lines 28-33, implies that the subject-matter for which protection is sought may be different to that defined by the claims, thereby resulting in lack of clarity of the claims (Article 6 PCT) when used to interpret them.

e) The terms employed in table V, the first column, appear to be registered trade marks.

f) In table II, there is a mistake in the ratio between the viscosity after 20 hours and the viscosity at 0 hours corresponding to the oxidised starch for example no. 2.

In table III, the values of  $BU_{top}/BU_{90-20}$  for oxidised starch from examples no. 4 and 5 do not match with the values given above for these two types of viscosity.

Additionally, all the amounts of chlorine compared to starch of tables II-IV are wrong.

Moreover, there is a lack of consistency in the way of giving the results of the measures, concerning the number of digits after the comma, in the tables II-V.

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>C08B 31/18, 35/08</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/06607</b> <b>(43) International Publication Date:</b> 10 February 2000 (10.02.00)
<b>(21) International Application Number:</b> PCT/NL99/00484 <b>(22) International Filing Date:</b> 28 July 1999 (28.07.99) <b>(30) Priority Data:</b> 98202593.4 31 July 1998 (31.07.98) EP <b>(71) Applicant (for all designated States except US):</b> COÖPERATIEVE VERKOOP- EN PRODUCTIEVERENIGING VAN AARDAPPELMEEL EN DERIVATEN AVEBE B.A. [NL/NL]; Beneden Oosterdiep 27, NL-9641 JA Veendam (NL). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> TER VEER, Berend, Cornelis, Arend [NL/NL]; Berkenweg 24, NL-9471 PW Zuidlaren (NL). BROUWER, Pieter, Hubert [NL/NL]; Westercems 26, NL-9642 KS Veendam (NL). WIELEMA, Thomas, Albert [NL/NL]; Selwerderstraat 48, NL-9717 GL Groningen (NL). KESSELMANS, Ronald, Peter, Wilhelmus [NL/NL]; Kromkampen 11, NL-9468 HK Annen (NL). <b>(74) Agent:</b> OTTEVANGERS, S., U.; Vereenigde Octrooibureaux, Nieuwe Parklaan 97, NL-2587 BN The Hague (NL).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> OXIDATION OF STARCH  <b>(57) Abstract</b>  The invention relates to a process for the oxidation of starch, wherein a root or tuber starch comprising at least 95 wt.% of amylopectin, based on dry substance of the starch, is treated with an oxidizing agent and the resulting product is subjected to an alkaline treatment, said treatment comprising keeping the product for at least 15 minutes at a temperature of 20–50 °C and a pH higher than 10. The invention further relates to an oxidized starch obtainable by said process and to various applications of said oxidized starch.		

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Title: Oxidation of starch

JC07 Rec'd PCT/PTO 16 JAN 2001

The invention relates to oxidized starch, the production thereof, as well as to the use of oxidized starch in various applications.

Oxidized starches have found many applications in industry. Examples of common applications include the use of oxidized starch in the paper industry, for instance in coatings or surface sizing, the adhesive industry, the textile industry, and the food industry.

The preparation of oxidized starches is conventionally carried out by oxidation with an alkali metal hypochlorite, which is a relatively cheap oxidizing agent.

The main factors controlling the oxidation reaction are the amount of alkali metal hypochlorite used, the pH, the temperature, and the use of metal and/or bromide ions as catalyst. An overview of the most important reaction parameters may be found in an article by J. Potze and P. Hiemstra in Starch, vol. 15, pp. 217-225 (1963). It has been proposed that dissociation of the hypochlorite in solution and the presence of radicals in the reaction mixture determine the reaction mechanism. Despite the extensive research done, the exact mechanism of the hypochlorite oxidation of starch is, however, still not entirely clarified.

As has been mentioned above, the course of the oxidation reaction using an alkali metal hypochlorite depends much on the pH during the reaction. This dependency has been widely addressed in the literature. The highest reaction rates are found at neutral pH, while the reaction rate decreases with increasing pH. At acidic pH (<5), chlorine is formed, which, for evident reasons, is to be avoided in an industrial process. Thus, from the view of the reaction rate, it would be desirable to perform the oxidation reaction at or around a neutral pH.

During the oxidation of starch with an alkali metal hypochlorite, different reactions occur. These reactions lead

to the introduction of carboxyl and carbonyl groups, and to the degradation of the starch molecule. The course of all these reactions, and the balance among them, determine the properties of the oxidized starch that is obtained. The  
5 balance among said reactions, i.e. the relative amount in the oxidized starch of carboxyl and carbonyl groups and the extent of degradation of the starch molecule, are, in their turn, dependent on the pH during the oxidation reaction. Hence, the properties of an oxidized starch will depend on  
10 the pH at which the oxidation reaction is carried out.

The degradation of the starch molecule during oxidation leads to a lower viscosity of a solution (or dispersion) of the oxidized starch, which is usually desired of an oxidized starch. It has been found that the degradation  
15 occurs to a farther extent at neutral pH of about 7-7.5, than at alkaline pH, such as pH 9 or higher. In other words, in order to obtain an optimal yield of oxidized starch providing a solution or dispersion of low viscosity, the oxidation reaction should preferably be carried out at neutral pH.

20 However, the viscosity in solution (or dispersion) is not the only important property of an oxidized starch. The viscosity of said solution (or dispersion) is, for most purposes, required to not, or hardly, fluctuate in time. The viscosity of the solution (or dispersion) should remain  
25 stable during storage.

Carboxyl groups, that may be introduced in the starch during the oxidation reaction, provide the desired stability of the viscosity of an oxidized starch solution or dispersion. The higher number of carboxyl groups, the better  
30 the viscosity stability. Contrary to the degradation of the starch, the amount of carboxyl groups introduced in the starch during oxidation with an alkali metal hypochlorite is small when the oxidation is carried out at neutral pH. The pH at which a high number of carboxyl groups is introduced lies  
35 around 8.5.

Besides choosing the oxidation reaction conditions so that a high number of carboxyl groups are introduced, the stability of the viscosity of solutions (or dispersions) of oxidized starch may be increased by introducing ether or ester groups in the starch. Examples of such groups include hydroxyethyl, hydroxypropyl and acetyl groups. Disadvantages of this route are that an additional derivatization step is needed, in which toxic reagents are used.

The number of carbonyl groups introduced in the starch during oxidation negatively affects the stability of the viscosity of the oxidized starch in solution (or dispersion). It further leads to a more brown-yellow color of a solution or dispersion of the oxidized starch, which is usually not wanted. The amount of carbonyl groups introduced is also dependent on the pH during the oxidation reaction. At neutral pH, a relatively high number of carbonyl groups is introduced. At a higher pH, less carbonyl groups will be introduced during oxidation.

From the above, it will be clear that the choice for the pH at which conventional oxidation reactions of starch using an alkali metal hypochlorite are performed, constitutes a compromise between efficient starch degradation and stability of the viscosity of the oxidized starch when dissolved or dispersed. This compromise becomes even more apparent from the data presented in the below table I.

Table I

	pH during oxidation		
	7.0 - 7.5	8.0 - 9.0	9.5 - 10.5
Degradation	+++	+	++
Carboxyl groups	+	+++	++
Carbonyl groups	+++	++	+

In table I, the number of +'s indicates the extent to which the specific reaction occurs at a given pH.

Conventionally, the pH during oxidation of starch using an alkali metal hypochlorite is chosen at 8.5 or higher, dependent mostly on the desired viscosity of the oxidized starch.

5           The international patent application WO-A-97/04167 relates to the use of an amylopectin type starch, obtained from potato that has been modified by genetic engineering to suppress the formation of amylose-type starch, as a finishing agent in papermaking. The amylopectin type starch is  
10 subjected to oxidation, enzymatic treatment, acid hydrolysis or thermo-chemical conversion. The disclosed oxidation process is performed at pH 9.5. According to the document, the obtained oxidation product may, as such, be used as a finishing agent in papermaking.

15           As has been indicated above, for reasons of stability, in particular viscosity stability, of the oxidized starch, the oxidation process is usually carried out at a pH higher than 8.5. However, the high pH adversely affects the reaction rate. Also, carrying out the oxidation process at  
20 this pH has the effect that relatively high amounts of oxidizing agent are necessary to achieve the desired viscosity. As the oxidizing agent is usually an alkali metal hypochlorite, the more oxidizing agent is used, the higher the risk that a certain amount of chlorine ends up in the  
25 oxidation product. The presence of chlorine is for evident reasons highly undesirable with respect to (public) health and the environment.

          The present invention aims to overcome the above problems. The invention further aims to provide a process for  
30 the oxidation of starch wherein significantly less oxidizing agent is necessary than in the prior art processes. It is also an object of the invention to provide a process for the oxidation of starch wherein an oxidized starch is obtained, which has excellent properties, such as viscosity and  
35 (viscosity) stability.

Surprisingly, it has been found that the above objects are achieved by oxidizing a specific type of starch and by subjecting the oxidation product to an alkaline treatment. Thus, the invention relates to a process for the oxidation of starch, wherein a root or tuber starch comprising at least 95 wt.% of amylopectin, based on dry substance of the starch, is treated with an oxidizing agent and the resulting product is subjected to an alkaline treatment, which treatment comprises keeping the product for at least 15 minutes at a temperature of 20-50°C and a pH higher than 10.

It has been found that the oxidation of starch according to the invention requires only small amounts of oxidizing agent, while the obtained product has excellent properties, in particular a very good viscosity stability. Furthermore, it has been found that, when an alkali metal hypochlorite is used as an oxidizing agent, much smaller amounts of chlorine are present in the obtained oxidized starch in comparison with prior art oxidized starches. In addition, it has been found that the oxidation process can be carried out in a shorter period of time than the prior art oxidation processes.

As has been indicated above, according to the invention, a starch is oxidized, which starch has a very high amylopectin content. Most starch types consist of granules in which two types of glucose polymers are present. These are amylose (15-35 wt.% on dry substance) and amylopectin (65-85 wt.% on dry substance). Amylose consists of unbranched or slightly branched molecules having an average degree of polymerization of 1000 to 5000, depending on the starch type. Amylopectin consists of very large, highly branched molecules having an average degree of polymerization of 1,000,000 or more. The commercially most important starch types (maize starch, potato starch, wheat starch and tapioca starch) contain 15 to 30 wt.% amylose.

Of some cereal types, such as barley, maize, millet, wheat, milo, rice and sorghum, there are varieties of which the starch granules nearly completely consist of amylopectin. Calculated as weight percent on dry substance, these starch granules contain more than 95%, and usually more than 98% amylopectin. The amylose content of these cereal starch granules is thus less than 5%, and usually less than 2%. The above cereal varieties are also referred to as waxy cereal grains, and the amylopectin starch granules isolated therefrom as waxy cereal starches.

In contrast to the situation of different cereals, root and tuber varieties of which the starch granules nearly exclusively consist of amylopectin are not known in nature. For instance, potato starch granules isolated from potato tubers usually contain about 20% amylose and 80% amylopectin (wt.% on dry substance). During the past 10 years, however, successful efforts have been made to cultivate by genetic modification potato plants which, in the potato tubers, form starch granules consisting for more than 95 wt.% (on dry substance) of amylopectin. It has even been found feasible to produce potato tubers comprising substantially only amylopectin.

In the formation of starch granules, different enzymes are catalytically active. Of these enzymes, the granule-bound starch synthase (GBSS) is involved in the formation of amylose. The presence of the GBSS enzyme depends on the activity of genes encoding for said GBSS enzyme. Elimination or inhibition of the expression of these specific genes results in the production of the GBSS enzyme being prevented or limited. The elimination of these genes can be realized by genetic modification of potato plant material or by recessive mutation. An example thereof is the amylose-free mutant of the potato (amf) of which the starch substantially only contains amylopectin through a recessive mutation in the GBSS gene. This mutation technique is described in, inter alia, J.H.M. Hovenkamp-Hermelink et al., "Isolation of

amylose-free starch mutant of the potato (*Solanum tuberosum* L.)", Theor. Appl. Gent., (1987), 75:217-221, and E. Jacobsen et al., "Introduction of an amylose-free (amf) mutant into breeding of cultivated potato, *Solanum tuberosum* L.,  
5 Euphytica, (1991), 53:247-253.

Elimination or inhibition of the expression of the GBSS gene in the potato is also possible by using so-called antisense inhibition. This genetic modification of the potato is described in R.G.F. Visser et al., "Inhibition of the  
10 expression of the gene for granule-bound starch synthase in potato by antisense constructs", Mol. Gen. Genet., (1991), 225:289-296.

By using genetic modification, it has been found possible to cultivate and breed roots and tubers, for  
15 instance potato, yam, or cassave (Patent South Africa 97/4383), of which the starch granules contain little or no amylose. As referred to herein, amylopectin potato starch is the potato starch granules isolated from potato tubers and having an amylopectin content of at least 95 wt.% based on  
20 dry substance.

Regarding production possibilities and properties, there are significant differences between amylopectin potato starch on the one hand, and the waxy cereal starches on the other hand. This particularly applies to waxy maize starch,  
25 which is commercially by far the most important waxy cereal starch. The cultivation of waxy maize, suitable for the production of waxy maize starch is not commercially feasible in countries having a cold or temperate climate, such as The Netherlands, Belgium, England, Germany, Poland, Sweden and  
30 Denmark. The climate in these countries, however, is suitable for the cultivation of potatoes. Tapioca starch, obtained from cassave, may be produced in countries having a warm climate, such as is found in regions of South East Asia and South America.

35 The composition and properties of root and tuber starch, such as amylopectin potato starch and amylopectin

tapioca starch, differ from those of the waxy cereal starches. Amylopectin potato starch has a much lower content of lipids and proteins than the waxy cereal starches. Problems regarding odor and foaming, which, because of the  
5 lipids and/or proteins, may occur when using waxy cereal starch products (native and modified), do not occur, or occur to a much lesser degree when using corresponding amylopectin potato starch products. In contrast to the waxy cereal  
10 starches, amylopectin potato starch contains chemically bound phosphate groups. As a result, amylopectin potato starch products in a dissolved state have a distinct polyelectrolyte character.

According to the present invention, the amylopectin starch to be oxidized is a root or tuber starch. It has been  
15 found that the presence of the lipids and proteins adversely affects the oxidation reaction, leading to by-products because of which the oxidized starch is not of sufficient quality. Furthermore, the presence of lipids and proteins leads to an unacceptably high AOX level, wherein the AOX  
20 level is defined as the amount of material that adsorbs to active carbon when the oxidized starch is brought into contact with said active carbon. The AOX level provides an indication of the amount of halogenic material, such as chlorine, in the oxidized starch.

25 The oxidation of amylopectin potato starch and amylopectin tapioca starch has been found to lead to particularly advantageous oxidized starches.

The oxidation of starch is, according to the invention, carried out with an alkali metal hypochlorite as  
30 oxidizing agent. Preferably, sodium hypochlorite is used as an oxidizing agent. Alkali metal hypochlorites are relatively cheap and have a relatively large oxidizing power, thus leading to a very efficient and fast oxidizing process.

The amount in which the oxidizing agent is added may  
35 vary between 0.001 and 0.4 moles of alkali metal hypochlorite per mole starch, preferably between 0.0025 and 0.15 moles of



alkali metal hypochlorite per mole starch. The skilled person will be aware that the alkali metal hypochlorite should be added to the starch in a controlled manner. It is one of the advantages of the invention that significantly smaller  
5 amounts of oxidizing agent are sufficient, when compared to prior art oxidation processes of starch, for preparing an oxidized starch having the same viscosity.

In a preferred embodiment, the oxidation of starch is performed at pH between 6 and 10, more preferably between 6.5  
10 and 8.5, even more preferably between 7 and 8. It has been found that by working at a pH in these ranges particularly small amounts of oxidizing agent suffice in order to obtain an oxidized starch having excellent properties. As has been mentioned above, it is one of the advantages of the invention  
15 that it is possible to perform the oxidation reaction at a lower pH than in conventional processes, while a product having the same viscosity, and an increased viscosity stability, when in solution or dispersion is being prepared.

In order to maintain the pH at a desired value, it  
20 may be necessary to add an acid or a base to the reaction mixture. For this purpose, suitable acids and bases may be chosen such that they have substantially no negative effect on the oxidation reaction or on the oxidized starch. Preferably, hydrochloric acid or sodium hydroxide is used.

25 A problem associated with the prior art processes for oxidizing starch, when carried out at a pH below 8.5, is that during dissolution of the granular oxidized starch in water, at high temperature a very high peak viscosity is observed. In fact, the viscosity may temporarily become so high, that  
30 processing is no longer possible. This is an undesired characteristic of oxidized starches, particularly when used at high dry solid concentrations. Surprisingly, it has been found that the problem of the viscosity peak during  
dissolution does not, or only to an acceptable degree, occur  
35 in a process according to the invention, even when the oxidation reaction is carried out at a pH below 8.5.

The temperature at which the starch, in accordance with the invention, is treated with an oxidizing agent is preferably chosen between 20 and 50°C, more preferably between 25 and 40°C.

5       The oxidation reaction may be carried out as a suspension or solution reaction in water. Preferably, the reaction is carried out as a suspension reaction in water, as this leads to a granular oxidized starch. To this end, the starch to be oxidized is suspended in water in an amount  
10       ranging between 0.5 and 1.5 kg of dry starch per liter water.

Optionally, a catalyst or a combination of catalysts may be used in the oxidation reaction. Suitable catalysts include bromide, cobalt, iron, manganese and copper salts. The catalyst or catalysts will be applied in catalytic  
15       amounts, which will be no higher than 10 wt.%, with respect to the amount of alkali metal hypochlorite.

An important aspect of the present invention, is that the reaction product of the above described oxidation reaction is subjected to an alkaline treatment. This  
20       treatment comprises keeping the product for at least 15 minutes at a temperature of 20-50°C and a pH higher than 10. Surprisingly, it has been found that the alkaline treatment has a highly beneficial effect on the properties, especially the viscosity stability, of the oxidized starch. An oxidized  
25       starch according to the invention may be stored at increased temperatures, e.g. 80°C, for prolonged periods of time without substantially any change in the viscosity of the product being observed.

Preferably, the alkaline treatment lasts at least 30,  
30       more preferably at least 60 minutes. Although there is no critical upper limit for the duration of the alkaline treatment, it will usually not be carried out for more than 6 hours in order to prevent that too much of the desired product dissolves in the water. The pH at which the alkaline  
35       treatment is carried out is preferably higher than 10.5. Further preferred is that the pH is kept below 12. It has

been found that according to these preferred embodiments, an even higher viscosity stability may be achieved.

In view of the above mentioned increased viscosity stability of a solution or dispersion of an oxidized starch prepared as disclosed hereinabove, it will be clear that the invention also relates to an oxidized starch obtainable by a method disclosed hereinabove.

It has been found that an oxidized starch prepared in a process according to the invention meets the following conditions:

$$(I.V. * ZGT)^{-1} \geq X, \text{ and}$$

$$BU_{top} / BU_{90-20} \leq Y,$$

wherein I.V. is the intrinsic viscosity of the oxidized starch;

ZGT is the acid number of the oxidized starch;

$BU_{90-20}$  is the Brabender viscosity of the oxidized starch after being held for 20 minutes at 90°C, measured using the oxidized starch in a concentration resulting in a  $BU_{90-20}$  between 100 and 500 BU;

$BU_{top}$  is the peak Brabender viscosity of the oxidized starch, measured at the same concentration as the  $BU_{90-20}$ ;

X is 0.015, preferably 0.017, more preferably 0.019; and

Y is 17, preferably 13, more preferably 10.

According to the invention, the intrinsic viscosity is expressed in dl/g, and may be determined in a known manner, for instance as described in H.W. Leach in Cereal Chemistry, vol. 40, page 595 (1963), using an Ubbelohde viscosity meter and a 1 M sodium hydroxide solution in water as the solvent.

The acid number (ZGT) provides an indication of the number of carboxyl groups present in the oxidized starch. It is defined as the amount in  $\mu\text{g}$  equivalent of NaOH which is necessary per gram of dry starch to obtain a pH of 8.6 ( $\mu\text{g}$  eq/g ds). The ZGT is determined titrimetrically. The starch is brought in its acid form with hydrochloric acid and titrated to a pH of 8.6 using 0.1 M NaOH.

In order to measure the  $BU_{top}$  and  $BE_{90-20}$ , a Brabender viscograph is used to record a viscosity curve. The Brabender viscosity is expressed in Brabender units (BU). The program used in accordance with the invention to measure the two  
5 parameters comprises a heating gradient of  $1.5^{\circ}\text{C}/\text{min.}$ , a rotation of 75 rpm, and a torque of 250 cmg, using a suspension of the oxidized starch and water comprising 5 to 40 wt.% of dry starch. The suspension is heated from room temperature to  $90^{\circ}\text{C}$ , and kept at that temperature for 20  
10 minutes. The peak viscosity thus obtained is the  $BU_{top}$ . The viscosity measured after 20 minutes at  $90^{\circ}\text{C}$  is the  $BU_{90-20}$ . The concentration of the oxidized starch in the suspension depends on the amount of alkali metal hypochlorite used during oxidation. The concentration should be adjusted such  
15 that a  $BU_{90-20}$  is obtained in the range of from 100 to 500 BU. Of course, the concentration for measuring  $BU_{top}$  is equal to that for measuring  $BU_{90-20}$ .

The invention further relates to the use of an oxidized starch as described above in the paper, adhesive,  
20 textile and food industries.

In the paper industry, oxidized starches have been used as coating binders since 1903. The main purpose of coating paper is to improve its printability. The most  
25 important components of a coating (also referred to as coating color) are pigments, such as titanium oxide, calcium carbonate, clays, and the like, binders, such as latices, starches, PVOH, proteins, and the like, and water.

In order to improve the quality of coated paper and to reduce the energy consumption of drying the paper after  
30 coating, the trend in the paper industry is to increase the dry solids content of the coating colors. In a presentation at the 18<sup>th</sup> PTS Coating Symposium 1997 in Munich, P.H. Brouwer and B.C.A. ter Veer showed that low viscous starches, such as oxidized starches, are excellent binders in such high  
35 dry solids coatings.

In order to obtain a high dry solids coating, the oxidized starch must be cooked at high concentrations (up to 40%) and stored in that concentration. This means that storage of the starch solution takes place at high  
5 temperatures (approximately 80°). As has been mentioned above, the present oxidized starches have an excellent viscosity stability, also at high temperatures. This makes them highly suitable for use as a binder in paper coatings.

Another application of oxidized starches in the paper  
10 industry concerns surface sizing, especially in the case of high starches. In surface sizing, a solution of starch is applied on paper. The concentration of the starch solution generally lies between 2 and 20%, preferably between 5 and 12%.

15 Starches oxidized with relatively low quantities of alkali metal hypochlorite are relatively high viscous starches, having a relatively low amount of carboxyl groups. Starches produced according to the prior art give starch solutions which are not stable in viscosity, even at the low  
20 concentrations used in surface sizing. Viscosity stability has therefore to be introduced by chemical means, e.g. by substitution of the starch with acetyl or hydroxy-alkyl substituents. Oxidized starch prepared according to the invention do not need such a modification to give solutions  
25 of sufficiently stable viscosity. Thus, the present oxidized starch is highly suitable for replacing high viscous hydrocolloids, such as polyvinyl alcohol, guar, alginate, carboxymethylcellulose or hydroxyethylcellulose.

Yet another application wherein the present oxidized  
30 starch has been found to be highly suitable is in adhesives. The present oxidized starch may be used to adhere two or more layers of paper together to form a multi-layer paper or (card)board. Also, aluminum foil can suitably be adhered onto paper by use of an oxidized starch according to the  
35 invention. Further, the present oxidized starch may be used as a component in paper sack adhesives and wall paper

adhesives, leading to an adhesive providing improved binding strength. In addition, paper and tape may be gummed with the present oxidized starch to produce stamps or envelopes. In abrasive paper or abrasive linen, the present oxidized starch  
5 may be used to adhere abrasive particles, such as sand, onto the paper or linen. In addition, the present oxidized starch may be used as an adhesive for seeds or fertilizers.

Still another application is related to the stabilization of emulsions, in particular of emulsions used  
10 in the adhesive industry such as polyvinylacetate emulsions. An oxidized starch according to the invention may be used as a protective colloid for providing the desired stability.

In the textile industry, the present oxidized starch may be used to improve the weaving operation or weaving  
15 efficiency by warp yarn sizing. This results in an improved abrasion resistance of the warp yarns during the weaving process and less warp-end breakages. The present oxidized starch may further be applied as finishing agent to give a smooth and firm hand to fabrics. It may also be used for the  
20 coating of glass fibers (fabrics and non-wovens). In addition, it may be used as blanket adhesive in the textile printing industry.

In the food industry, Arabic gum may be replaced in e.g. confectioneries by an oxidized starch according to the  
25 invention. The excellent stability of the present oxidized starch, leads in these applications to a more clear food product.

It will be clear to the skilled person that the above list of applications is not intended to be extensive and that  
30 many more applications of the present oxidized starches are conceivable. In practice, the present product may be used in any application in which oxidized starches have conventionally been used.

The invention will now be elucidated by the following  
35 non-restrictive examples.

Example 1.

3.285 kg of amylopectine potato starch (2.83 kg dry matter) was suspended in 3.98 kg of water. The temperature of the suspension was increased to 35°C. 240 ml of a sodium hypochlorite solution containing 146.5 g/liter of active chlorine was added. During the oxidation the pH was maintained at 7.5 by the addition of a 4.4 wt.% sodium hydroxide solution. Once the reaction was complete, i.e. no chlorine was detectable with potassium iodide-starch paper, the pH was increased to 10.5 by the addition of a 4.4 wt.% sodium hydroxide solution. After two hours of alkaline post-treatment 7 ml sodium hypochlorite solution was added for decoloration, followed after two minutes by 2 g of sodium bisulphite. The reaction mixture was neutralized to pH 5.0 by the addition of 10 N  $\text{H}_2\text{SO}_4$ , whereupon the product was dewatered and washed before drying.

Example 2.

3.285 kg of amylopectine potato starch (2.83 kg dry matter) was suspended in 3.98 kg of water. The temperature of the suspension was increased to 35°C. 240 ml of a sodium hypochlorite solution containing 146.5 g/liter of active chlorine was added. During the oxidation the pH was maintained at 7.5 by the addition of a 4.4 wt.% sodium hydroxide solution. Once the reaction was complete, i.e. when no chlorine was detectable with potassium iodide-starch paper, the reaction mixture was neutralized to pH 5.0 by the addition of 10 N  $\text{H}_2\text{SO}_4$ , whereupon the product was dewatered and washed before drying.

Example 3.

3.285 kg of amylopectine potato starch (2.83 kg dry matter) was suspended in 3.98 kg of water. The temperature of the

suspension was increased to 35°C. 240 ml of a sodium hypochlorite solution containing 146.5 g/liter of active chlorine was added. During the oxidation the pH was maintained at 7.5 by the addition of a 4.4 wt.% sodium hydroxide solution. Once the reaction was complete, i.e. when no chlorine was detectable with potassium iodide-starch paper, the pH was increased to 11.5 by the addition of a 4.4 % sodium hydroxide solution. After one hours of alkaline post-treatment 7 ml a sodium hypochlorite solution was added for decoloration, followed after two minutes by 2 g of sodium bisulphite. The reaction mixture was neutralized to pH 5.0 by the addition of 10 N H<sub>2</sub>SO<sub>4</sub>, whereupon the product was dewatered and washed before drying.

15 Example 4. Comparative to example 2 of WO 97/04167

1.525 kg of amylopectine potato starch (1.30 kg dry matter) was suspended in 1.798 kg of water. The temperature of the suspension was increased to 35°C. The pH was increased to pH 9.5 by the addition of a 4.4 % sodium hydroxide solution. 225 ml of a sodium hypochlorite solution containing 146.5 g/liter of active chlorine was added. During the oxidation the pH was maintained at 9.5 by the addition of a 4.4 wt.% sodium hydroxide solution. Once the reaction was complete, i.e. when no chlorine was detectable with potassium iodide-starch paper, the reaction mixture was neutralized to pH 5.0 by the addition of 6 N HCl, whereupon the product was dewatered and washed before drying.

30 Example 5.

1.525 kg of amylopectine potato starch (1.30 kg dry matter) was suspended in 1.798 kg of water. The temperature of the suspension was increased to 35 °C. The pH was increased to pH 9.5 by the addition of a 4.4 % sodium hydroxide solution.



225 ml of a sodium hypochlorite solution containing 146.5 g/liter of active chlorine was added. During the oxidation the pH was maintained at 9.5 by the addition of a 4.4 wt.% sodium hydroxide solution. Once the reaction was complete, i.e. no chlorine was detectable with potassium iodide-starch paper, the pH was increased to 10.5 by the addition of a 4.4 wt.% sodium hydroxide solution. After two hours of alkaline post-treatment 5 ml a sodium hypochlorite solution was added for decoloration, followed after two minutes by 2 g of sodium bisulphite. The reaction mixture was neutralized to pH 5.0 by the addition of 6 N HCl, whereupon the product was dewatered and washed before drying.

Example 6. Comparative to example 1 of WO 97/04167

1.537 kg of regular potato starch (1.30 kg dry matter) was suspended in 1.785 kg of water. The temperature of the suspension was increased to 35°C. The pH was increased to pH 9.5 by the addition of a 4.4 wt.% sodium hydroxide solution. 476 ml of a sodium hypochlorite solution containing 146.5 g/liter of active chlorine was added. During the oxidation the pH was maintained at 9.5 by the addition of a 4.4 wt.% sodium hydroxide solution. Once the reaction was complete, i.e. when no chlorine was detectable with potassium iodide-starch paper, the reaction mixture was neutralized to pH 5.0 by the addition of 6 N HCl, whereupon the product was dewatered and washed before drying.

Example 7.

1.537 kg of regular potato starch (1.30 kg dry matter) was suspended in 1.785 kg of water. The temperature of the suspension was increased to 35 °C. The pH was increased to pH 9.5 by the addition of a 4.4 % sodium hydroxide solution. 476 ml of a sodium hypochlorite solution containing 146.5 g/liter of active chlorine was added. During the oxidation

the pH was maintained at 9.5 by the addition of a 4.4 wt.% sodium hydroxide solution. Once the reaction was complete, i.e. when no chlorine was detectable with potassium iodide-starch paper, the pH was increased to 10.5 by the addition of a 4.4 wt.% sodium hydroxide solution. After two hours of alkaline post-treatment 5 ml a sodium hypochlorite solution was added for decoloration, followed after two minutes by 2 g of sodium bisulphite. The reaction mixture was neutralized to pH 5.0 by the addition of 6 N HCl, whereupon the product was dewatered and washed before drying.

#### Example 8

The oxidized starch derivatives were dissolved in 30% (dry matter) on a boiling waterbath with high speed stirring (600-1200 rpm). After 30 minutes the solutions were stored at 80°C and the viscosity was measured after 0, 1, 3 and 20 hours. The results of the different oxidized starches is shown in table II.

Table II

Oxidized starch for example no.	1	2	3	4	5	6	7
Starch	APS	APS	APS	APS	APS	PS	PS
amount of Cl <sub>2</sub> /kg starch (g)	10	10	10	20.4	20.4	43.3	43.3
pH during oxidation	7.5	7.5	7.5	9.5	9.5	9.5	9.5
alkaline post-treatment	yes*	no	yes@	no	yes*	no	yes*
Brookfield viscosity (30%, 80°C, 30 rpm)							
0 hours	95	510	98	106	91	53	51
1 hour	102	370	100	108	94	57	51
3 hours	102	250	102	103	94	56	48
20 hours	94	68	92	75	75	37	35
pH (20 hours)	5.9	4.4	5.6	5.9	5.8	5.5	5.4
visc. 20 hours/0 hours	0.99	0.18	0.94	0.71	0.82	0.70	0.69

\* pH 10.5 for 2 hours

@ pH 11.5 for 1 hour

5 APS = amylopectin potato starch

PS = regular potato starch

From the data presented in table II, it can be seen that the  
starches prepared according to example 1 and 3 exhibited an  
10 excellent viscosity stability at 80°C.

#### Example 9

Brabender viscosity curves were measured in 30 wt.% (dry  
15 matter), with the following temperature program; start 30°C,  
heating to 90°C (1.5°C/minute), 20 minutes at 90°C, cooling  
to 30°C (1.5°C/minute). The peak viscosity and the viscosity  
after 20 minutes at 90°C (in Brabender units, BU) of the  
different starches is depicted in table II. Also the peak-  
20 breakdown ratio, defined as the peak viscosity divided by the  
viscosity after 20 minutes at 90°C, is presented in table  
III.

Table III

Oxidized starch from example no.	1	2	3	4	5	6	7
Starch	APS	APS	APS	APS	APS	PS	PS
amount of $\text{Cl}_2$ /kg starch (g)	10	10	10	20.4	20.4	43.3	43.3
pH during oxidation	7.5	7.5	7.5	9.5	9.5	9.5	9.5
alkaline post-treatment	yes*	no	yes@	no	yes*	no	yes*
Brabender viscosity (30 wt.%, 250 cmg, 75 rpm)							
$\text{BU}_{\text{top}}$	730	##	700	2030	1430	1750	1180
$\text{BU}_{90-20}$	180	##	180	100	100	175	150
$\text{BU}_{\text{top}}/\text{BU}_{90-20}$	4.0	##	3.9	20	14	10	7.9

\* pH 10.5 for 2 hours

@ pH 11.5 for 1 hour

5 ## to high peak viscosity to measure in Brabender at 30%

APS = amylopectin potato starch

PS = regular potato starch

10 It can be seen, that the products prepared according to example 1 and 3 exhibited a peak-breakdown ratio of less than 5.

#### Example 10

15 The oxidized starch derivatives were analyzed for their intrinsic viscosity (IV) and the acid number (ZGT). The intrinsic viscosity was determined using an Ubbelohde viscosity meter with 1 M NaOH as solvent. The acid number was  
20 determined titrimetrically by bringing the starch in the acid form using hydrochloric acid and by titrating to pH 8.6 using 0.1 M NaOH.

The results for the different oxidized starches are shown in table IV.

Table IV

Oxidized starch from example no.	1	2	3	4	5	6	7
Starch	APS	APS	APS	APS	APS	PS	PS
amount of Cl <sub>2</sub> /kg starch (g)	10	10	10	20.4	20.4	43.3	43.3
pH during oxidation	7.5	7.5	7.5	9.5	9.5	9.5	9.5
alkaline post-treatment	yes*	no	yes@	no	yes*	no	yes*
Analytic data							
IV (g/dl)	0.40	0.39	0.40	0.39	0.40	0.35	0.28
ZGT (µg eq/g ds)	113	115	113	179	175	324	312
(IV*ZGT) <sup>+</sup>	0.022	0.022	0.022	0.014	0.014	0.009	0.011

\* pH 10.5 for 2 hours

@ pH 11.5 for 1 hour

5 APS = amylopectin potato starch

PS = regular potato starch

#### Example 11

- 10 The oxidized amylopectin starch, prepared in accordance with Example 1, was tested in a wood containing topcoat in comparison with carboxymethylcellulose (CMC). The coating formula is depicted in Table V. The coating colors were analyzed for their dry substance (CEM lab wave 9000), pH,
- 15 high shear viscosity (Hercules), Water retention (AAGWR) at 0.5 and 1.5 bar for 15 seconds and the viscosity established by Eklund Capillary Viscometer (ECV).

Table V

Coating formula no.	I	II	
Premier No. 1 (ECC)	50	50	parts
Hydrocarb 90 (Omya)	50	50	parts
DOW 935	12	12	parts
Nopcote 104	0.9	0.9	parts
Oxidized starch		1.4	parts
CMC (Finnfix FF 30)	0.7		parts
Analyses:			
Dry substance	65.7	66.1	%
pH	8.8	8.8	
Brookfield viscosity 10 rpm	7600	4000	mPas
Brookfield viscosity 100 rpm	1320	840	mPas
High shear viscosity 100 rpm	191	162	mPas
High shear viscosity 1000 rpm	72.6	84.9	mPas
AAGWR 0.5 bar 15"	35.5	25.88	g/m <sup>2</sup>
AAGWR 1.5 bar 15"	53.75	36.25	g/m <sup>2</sup>
ECV 100000	120	89	mPas
ECV 700000	37	47	mPas

The rheological results indicate that a 2 to 1 replacement of CMC with oxidized amylopectin starch is possible, without a dramatic change in dewatering behavior.

5 Example 12

The oxidized amylopectin starch, prepared in accordance with Example 1, was tested in surface sizing in comparison with a commercial available oxidized and stabilized starch for ink-jet printing paper. The ink-jet papers were analyzed for their sheet weight (Tappi T 140), starch content (Böhringer method), brightness (Tappi T 452), porosity (ISO 5636-5), HP ink-jet printability test (HP Paper Acceptance Criteria 3.4), bursting strength (Tappi T 405), stiffness (Tappi T 535),  
10 tearing resistance (Tappi T 414), Internal bond (Tappi 506-wd-83/UM 584), Cobb test (NEN 3291), density ink-jet colors (Macbeth densito meter), Dennison wax test (Tappi T 459 SU-  
15 65) and the IGT pick resistance (Tappi T 514). The results are depicted in Table VI.

Table VI

Surface sizing formula no.	Regular starch	Amylopectin	
Density ink-jet colors			
black	0.83	0.93	
yellow	1.05	1.09	
magenta	1.02	1.09	
blue	1.31	1.32	
Internal bond	290	366	J/m <sup>2</sup>
Stiffness	0.43	0.43	mN/m
Porosity	20.5	25.5	s/100 ml
Sheet weight	79.3	79.4	g/m <sup>2</sup>
Tearing resistance	526	492	mN
Starch content	38	34	mg/g
Bleed	acceptable	acceptable	
Dennison wax test	16	16	
Cobb 60	19.1	17.3	g/m <sup>2</sup>
Burst	171.3	156.2	kPa
Whiteness	87.32	86.68	%
Whiteness (UV)	99.96	98.37	%

5 Surface sizing with oxidized amylopectin starch resulted in a better ink-jet printability, higher internal strength, lower porosity and a lower Cobb 60 value. All these properties were obtained with a lower starch content of the paper.



Claims

1. A process for the oxidation of starch, wherein a root or tuber starch comprising at least 95 wt.% of amylopectin, based on dry substance of the starch, is treated with an alkali metal hypochlorite and the resulting product is  
5 subjected to an alkaline treatment, said treatment comprising keeping the product for at least 15 minutes at a temperature of 20-50°C and a pH higher than 10.
2. A process according to claim 1, wherein the starch is potato or tapioca starch.
- 10 3. A process according to claim 1 or 2, wherein the alkaline treatment lasts at least 30 minutes, preferably at least 60 minutes.
4. A process according to any of the preceding claims, wherein the alkaline treatment is performed at a pH higher  
15 than 10.5.
5. A process according to any of the preceding claims, wherein the alkali metal hypochlorite is sodium hypochlorite.
6. A process according to any of the preceding claims, wherein the starch is treated with the oxidizing agent at a  
20 pH between 6 and 10, preferably between 6.5 and 8.5.
7. An oxidized starch obtainable by a process according to any of the preceding claims.
8. An oxidized starch, wherein
$$(I.V. * ZGT)^{-1} \geq X, \text{ and}$$
$$BU_{top} / BU_{90-20} \leq Y,$$
  
25 wherein I.V. is the intrinsic viscosity of the oxidized starch;  
ZGT is the acid number of the oxidized starch;  
BU<sub>90-20</sub> is the Brabender viscosity of the oxidized starch after  
30 being held for 20 minutes at 90°C, measured using the oxidized starch in a concentration resulting in a BU<sub>90-20</sub> between 100 and 500 BU;  
BU<sub>top</sub> is the peak Brabender viscosity of the oxidized starch, measured at the same concentration as the BU<sub>90-20</sub>;

X is 0.015, preferably 0.017, more preferably 0.019; and  
Y is 17, preferably 13, more preferably 10.

9.. The use of an oxidized starch according to claim 7 or  
8 as a binder in paper coatings or surface sizings, as an  
5 adhesive, a protective colloid for stabilizing emulsions, in  
warp yarn sizing, as a coating of glass fibers, as a blanket  
adhesive, and in abrasive paper or in food products.

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/NL 99/00484

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C08B31/18 C08B35/08

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C08B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 97 04167 A (SVERIGES STÄRKELSEPRODUCENTER FÖRENING) 6 February 1997 (1997-02-06) page 2, line 24 -page 3, line 13 ---	1-9
Y	DE 20 07 408 A (CPC INTERNATIONAL INC.) 15 October 1970 (1970-10-15) page 19 -page 21 page 7 -page 8 --- -/--	1-9



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

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Date of the actual completion of the international search

6 October 1999

Date of mailing of the international search report

20/10/1999

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# INTERNATIONAL SEARCH REPORT

Int'l. Application No  
PCT/NL 99/00484

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>ABSTRACT BULLETIN OF THE INSTITUTE OF PAPER CHEMISTRY, vol. 60, no. 11, 1 May 1990 (1990-05-01), XP000106834 APPLETON US &amp; HU 47 603 A (GOMORY P.) 28 March 1989 (1989-03-28) &amp; DATABASE WPI Week 8917 Derwent Publications Ltd., London, GB; AN 124664</p>	1-9
Y	<p>PATENT ABSTRACTS OF JAPAN vol. 14, no. 109 (C-695), 28 February 1990 (1990-02-28) &amp; JP 01 313501 A (KOKUSAN GIJUTSU KENKYUSHO), 19 December 1989 (1989-12-19) abstract &amp; DATABASE WPI Week 9005 Derwent Publications Ltd., London, GB; AN 34408 abstract</p>	1-9
A	<p>EP 0 811 633 A (CERESTAR HOLDING) 10 December 1997 (1997-12-10)</p>	
A	<p>US 4 841 040 A (JUST ET AL.) 20 June 1989 (1989-06-20)</p>	
A	<p>GB 1 425 822 A (FOSROC) 18 February 1976 (1976-02-18)</p>	
A	<p>EP 0 799 837 A (AVEBE) 8 October 1997 (1997-10-08)</p>	
A	<p>CHATTOPADHYAY S ET AL: "Optimisation of conditions of synthesis of oxidised starch from corn and amaranth for use in film-forming applications" CARBOHYDRATE POLYMERS, vol. 34, no. 4, 1997, page 203-212 XP004113375 ISSN: 0144-8617</p>	

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/NL 99/00484

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